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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/010,225	12/06/2001	Peter A. Yared	16159.019001;P6414	7877

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EXAMINER

AILES, BENJAMIN A

ART UNIT PAPER NUMBER

2142

DATE MAILED: 11/10/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/010,225	Applicant(s) YARED ET AL.	
	Examiner Benjamin A. Ailes	Art Unit 2142	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 August 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-4 and 6-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 6-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. This action is in response to the Amendments filed 01 July 2005 and 05 August 2005.
2. Claims 1-4, and 6-24 remain pending.

### ***Response to Arguments***

3. Applicant's arguments, see Amendment under 37 CFR 1.111, filed 01 July 2005, with respect to the rejection(s) of claim(s) 1-24 under Acker et al. (U.S. 5,925,100) in view of Applicant's admitted prior art (AAPA) and Freyburger (U.S. 6,405,368), and Swaminathan et al. (U.S. 6,092,120), and Nakata et al. (U.S. 5,854,841) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Acker et al. (U.S. 6,141,792) in view of Applicant's admitted prior art (AAPA) and Freyburger (U.S. 6,405,368), and Swaminathan et al. (U.S. 6,092,120), and Nakata et al. (U.S. 5,854,841).

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1-4, 6-8, 12, 15-20, and 21-24 are rejected under 35 U.S.C. 102(e) as being anticipated by Acker et al. (U.S. 6,141,792), hereinafter referred to as Acker.

6. Regarding claims 1, 15, 16, 17, 18, 21, 22, 23, and 24, Acker discloses a method for packaging an object graph, comprising:

receiving a variable usage specification wherein the variable usage specification comprises a usage specifying an attribute of an object in the object graph (col. 5, lines 47-52, Acker discloses the use of an abstract graph which represents syntactic units of the interface definition.).

creating a transient object graph representation comprising an internal representation of the object, wherein the internal representation of the object only comprises the attribute specified in the variable usage specification (col. 5, lines 58-64, Acker discloses the use of an emitter which creates a second object graph which only includes portions of the object graph that are used and required.).

7. Regarding claim 2, Acker discloses the method wherein creating the transient object graph representation comprises identifying the object in the object graph whose attribute is specified in the variable usage specification (col. 5, lines 58-64, Acker discloses generating only the portions of the object graph needed, the portions being the objects which inherently associated attributes.).

8. Regarding claim 3, Acker discloses the method wherein identifying the object in the object graph comprises using a root object in the object graph (col. 13, lines 18-20, Acker discloses the use of a root object for identifying purposes).

9. Regarding claim 4, Acker discloses the method wherein identifying the object in the object graph further comprises using the root object to find a path to the object whose attribute is specified in the variable usage specification (col. 13, lines 18-24, Acker discloses the use of a root object to identify the route to a target module (target object)).

10. Regarding claim 6, Acker discloses the method wherein creating the transient object graph further comprises storing the internal representation of the object as a node of the transient object graph (fig. 4, Acker discloses the object graph builder creating the object graph containing only objects/attributes of interest which contains nodes (see 120)).

11. Regarding claims 7 and 19, Acker discloses the method further comprising:  
converting the transient object graph representation into a form suitable for transport over a network link (col. 3, lines 24-29, Acker discloses the ability for the use of the invention in a distributed network environment which would require the ability to convert the graph into a form suitable for transport over a network link.).

12. Regarding claims 8 and 20, Acker discloses the method further comprising:  
converting the transient object graph representation into a form suitable for storage on a storage medium (col. 3, lines 24-29, Acker discloses the ability for the use of the invention in a computer environment, which would require the ability to convert the graph into a form suitable for storage on a storage medium.).

***Claim Rejections - 35 USC § 103***

13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

14. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

15. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Acker as applied above, in view of what was well known at the time of invention, being Applicant admitted prior art (AAPA), incorporation of such functional subject matter being obvious to one of ordinary skill in the art at the time the invention was made.

16. Regarding claim 9, Acker disclosed the invention substantially as claimed as detailed above. However, Acker did not expressly disclose the method of converting the object graph into a byte stream. One of ordinary skill in the art at the time of the applicant's invention would have been motivated to use the well-known function of converting computer elements, in this case an object graph, into a byte stream in order

to be able to properly transmit object graphs between a client and a server pair. The operation of transmitting objects between a client and a server is considered well known in the networking arts as explained by Acker (see col. 3, lines 24-29) and the conversion to a byte stream in the present application, Page 2, paragraph 0004.

17. Claims 10 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acker in view of Freyburger (U.S. 6,405,368), hereinafter referred to as Freyburger.

18. Regarding claim 10, Acker disclosed the invention substantially as claimed as detailed above. Acker does disclose the transmission of the object graph from server to client but does not expressly disclose the conversion of the graph into a hash table. However, in related art in the computer networking arts, Freyburger discloses a method of utilizing a hash table for storage (see Freyburger, col. 4, line 66 – col. 5, line 17). One of ordinary skill in the art at the time of the applicant's invention would have been motivated to utilize a hash table in order to properly maintain, store, and keep track of object graphs accurately.

19. Claim 11 is rejected under the same rationale and motivation as stated in claim 10, the use of a hash table, and in claim 9, the use of conversion to a byte stream.

20. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Acker in view of Swaminathan et al. (U.S. 6,092,120), hereinafter referred to as Swaminathan.

21. Regarding claim 13, Acker disclosed the invention substantially as claimed as detailed above. Acker does disclose the transmission of the object graph from server to client but does not expressly disclose the compression of the object graph. However, in

related prior art, Swaminathan discloses a method of compressing data before transmission (see Swaminathan, col. 4, lines 45-49). One of ordinary skill in the art at the time of the applicant's invention would have recognized the advantage of the object graph creation and transmittal between server and client method as disclosed by Acker utilizing data compression techniques disclosed by Swaminathan. One would have been motivated to make such a combination in order to increase the efficiency and decrease the amount of time it takes to transmit a file from a client to a server and vice versa (see Swaminathan, col. 5, lines 45-59).

22. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Acker in view of Nakata et al. (U.S. 5,854,841), hereinafter referred to as Nakata.

23. Regarding claim 14, Acker disclosed the invention substantially as claimed as detailed above. Acker does disclose the transmission of the object graph from server to client but does not expressly disclose the step of encryption of the object graph. However, in related prior art, Nakata discloses a method encrypting data before transmission between a server and a client (see Abstract). One of ordinary skill in the art at the time of the applicant's invention would have recognized the advantage of the object graph creation and transmittal between server and client method as disclosed by Acker utilizing data encryption techniques disclosed by Nakata. One would have been motivated to make such a combination in order to increase security and protect sensitive material from being illegally used (see Nakata, col. 1, lines 11-27).



***Conclusion***

24. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Gates et al. (US 2002/0049579 A1) disclose distributing and synchronizing objects.

Federighi et al. (U.S. 5,956,728) disclose object graph editing context and methods of use.

Falls et al. (U.S. 5,991,771) disclose transaction synchronization in a disconnectable computer and network.

Marcos et al. (U.S. 6,347,342 B1) disclose a method and apparatus for dynamically brokering object messages among object models.

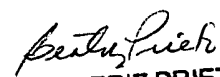
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Benjamin A. Ailes whose telephone number is (571)272-3899. The examiner can normally be reached on M-F 6:30-4, IFP Work Schedule.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Caldwell can be reached on (571)272-3868. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2142

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

baa

  
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PRIMARY EXAMINER